

# Verified encodings for SAT solvers

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Advised by Marijn J. H. Heule and Jeremy Avigad



June 26 - 30, 2023

Repo at <https://github.com/ccodel/verified-encodings>

The problem with SAT encodings

The Lean theorem prover

Verified encodings library

Applications

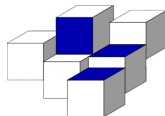
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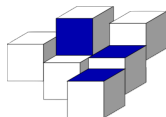
Keller's Conjecture [IJCAR'20]



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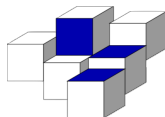
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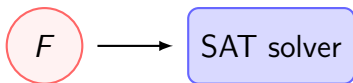
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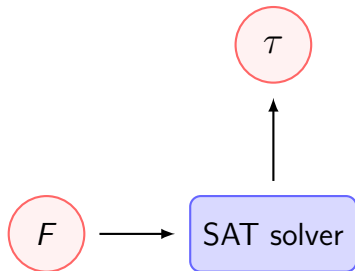
Lam's Problem [AAAI'21]

1	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	1	1	1	0	0	0	0	0	0	0	0
1	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1	0	0	0
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# The SAT toolchain

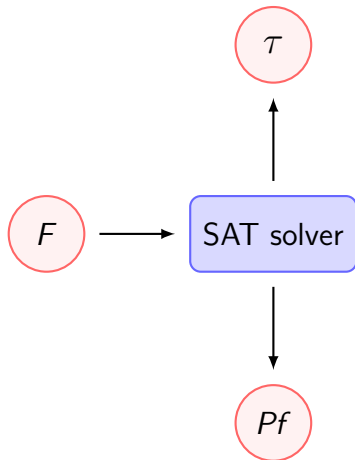


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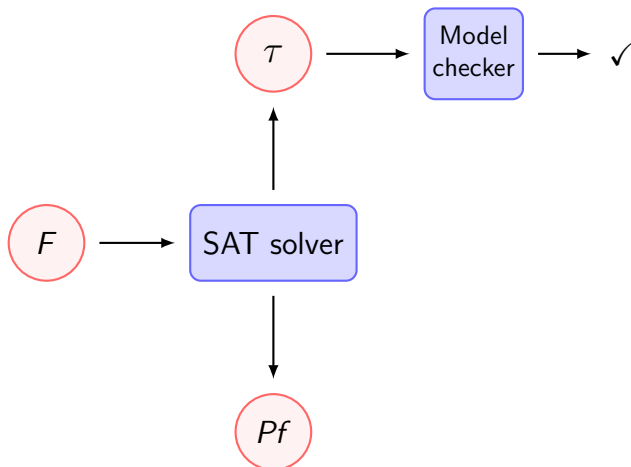




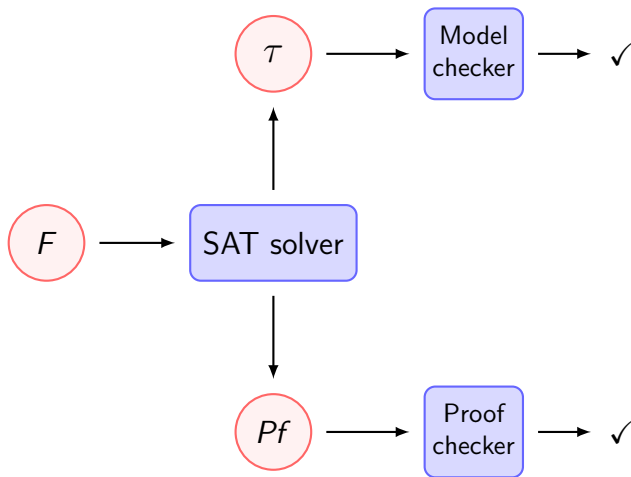
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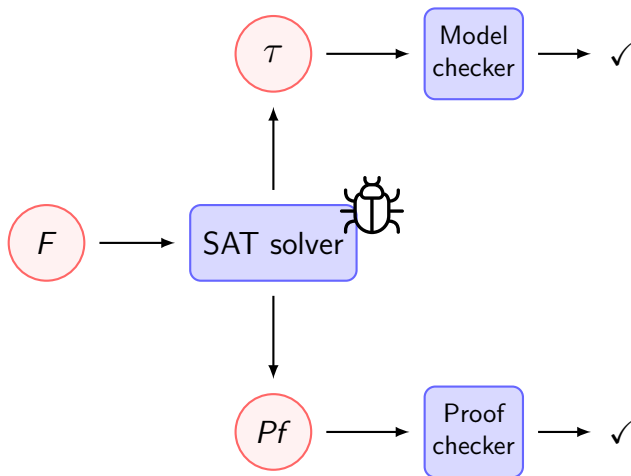
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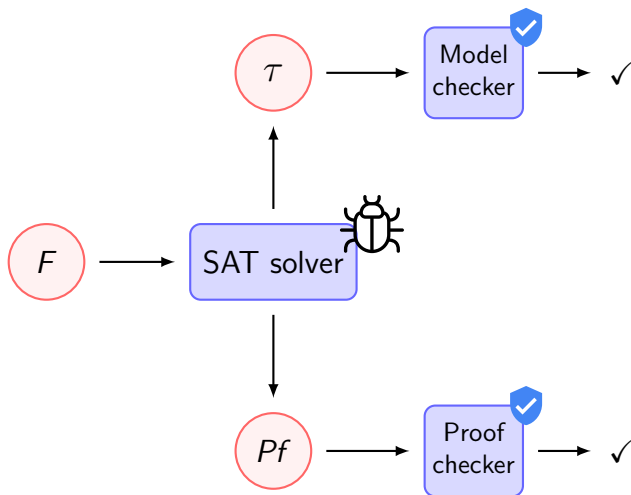
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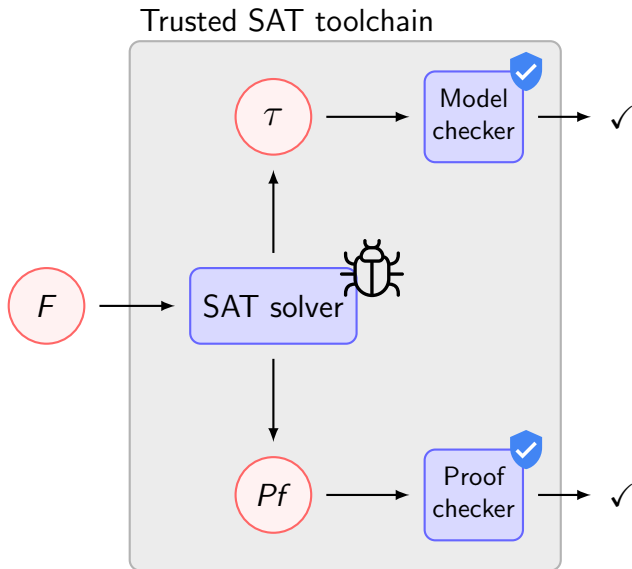
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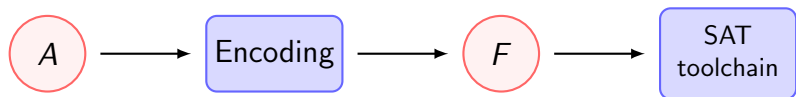
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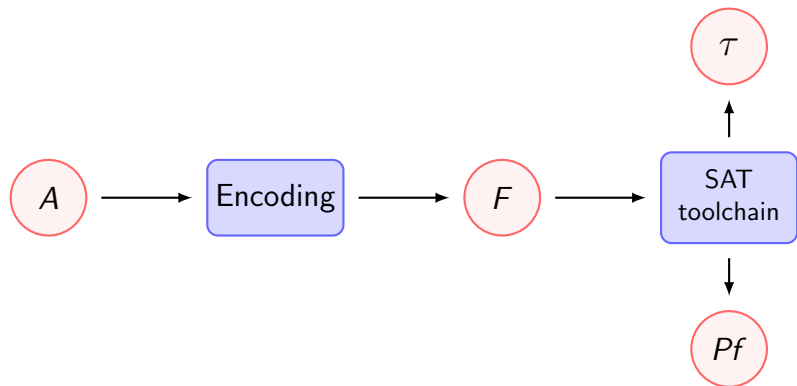
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## The problem with encodings

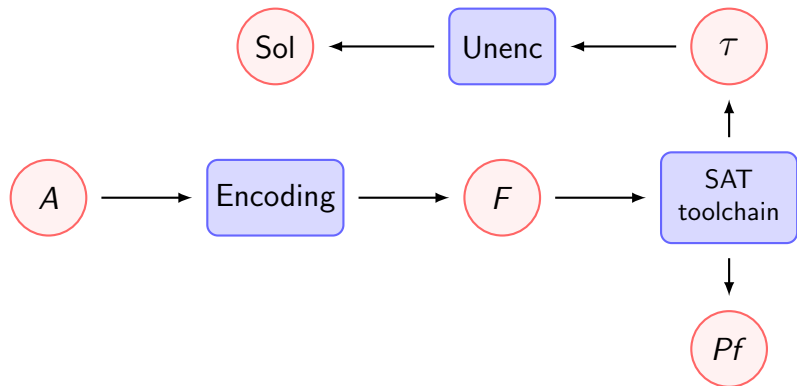


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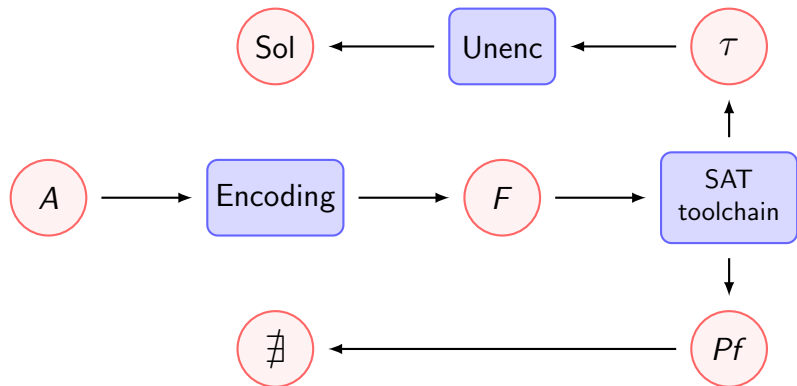




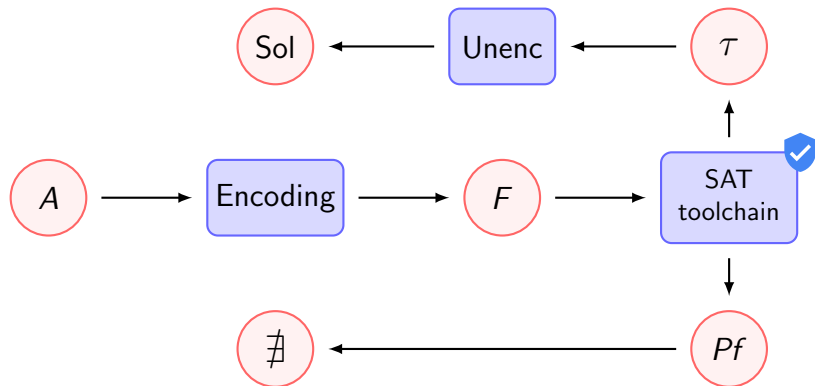
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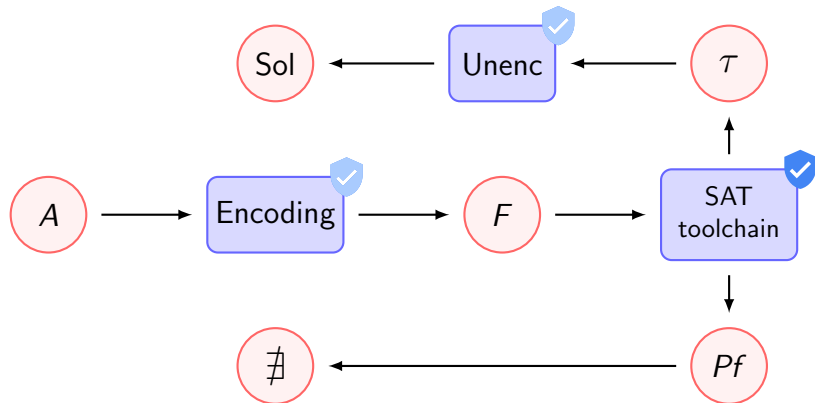
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My work: extend the trusted SAT toolchain to **include encodings** by using a theorem prover

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Prior work [Cruz-Filipe, Marques-Silva, Schneider-Kamp '19; Giljegård and Wennerbreck '21] verified **specific** encodings; our library is **general**

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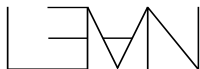


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Quick demo!

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Contains:

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Basis for future verification efforts

## Library preliminaries

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But what is a correct encoding?

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$$C(\tau(x_1), \dots, \tau(x_n)) \leftrightarrow \exists \sigma, \sigma(F) = \top,$$

where  $\sigma$  **agrees with**  $\tau$  on  $X$  (i.e.  $\forall x \in X, \tau(x) = \sigma(x)$ )  
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An **encoding function**  $E$  is **correct** for  $C$  if the formula it produces encodes  $C$  on all inputs

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In Lean, the definitions look like:

```
def encodes (C : constraint) (l : list literal) (F : cnf) :=  
  ∀ (τ : assignment),  
  (C.eval τ l = tt) ↔  
  ∃ σ, F.eval σ = tt ∧ agree_on τ σ (vars l)
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def is_correct (C : constraint) (enc : enc_fn) :=  
  ∀ {l : list literal} {g : gensym}, disjoint l g →  
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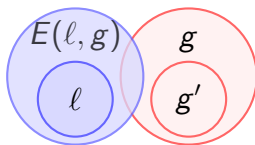
We prove that the encoding functions in our library are **correct** according to these definitions

## Library preliminaries

Encodings must also be **well-behaved** (i.e. that they generate fresh variables in a reasonable way)

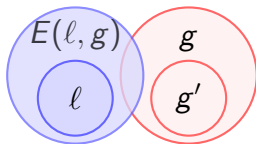
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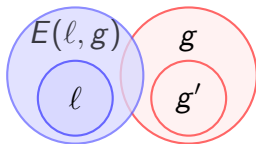


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def is_wb (enc : enc_fn) :=  
  ∀ {l : list literal} {g : gensym}, disjoint l g →  
    (enc l g).gensym ⊆ g ∧  
    vars (enc l g).formula ⊆ (vars l) ∪ (g \ (enc l g).gensym)
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## Case study: at-most-one

The **Sinz** at-most-one encoding produces  $\sim 3n$  clauses and needs  $n - 1$  new variables:

$$\text{Sinz}(X) = \bigwedge_{i=1}^{n-1} \left( (\bar{x}_i \vee s_i) \wedge (\bar{s}_i \vee s_{i+1}) \wedge (\bar{s}_i \vee \bar{x}_{i+1}) \right)$$

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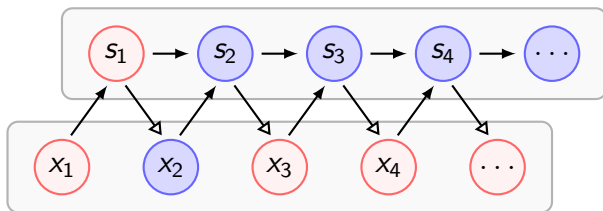
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In “direct” proofs, supply extended assignments explicitly

## Applications and future work

Combine sub-encodings to form more complex ones

Easily recover proofs of correctness

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def append (enc1 enc2 : enc_fn) : enc_fn :=  
  λ (l : list literal) (g : gensym),  
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## Applications and future work

Combine sub-encodings to form more complex ones

Easily recover proofs of correctness

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theorem is_correct_append  
  {c1 c2 : constraint} {enc1 enc2 : enc_fn} :  
  is_correct c1 enc1 → is_correct c2 enc2 →  
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Toy example by combining sub-encodings for Sudoku (demo!)



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Overall, the goal is to make Lean the **one-stop-shop** for generating SAT queries in a trusted way

## Verified encodings for SAT solvers



Thank you for your attention!  
Any questions?